

Attorney Docket No: UCAL-246/02/01US  
96-026-5

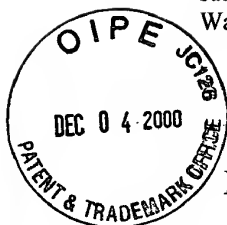
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PATENT

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By:

*Vikki L. Athen*

Vikki L. Athen

#5

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of John D. Baxter, et al.

Serial No.: 09/637,132

Examiner: Not Yet Assigned

Filed: August 10, 2000

Art Unit:

For: NUCLEAR RECEPTOR LIGANDS AND LIGAND BINDING DOMAINS

Commissioner for Patents  
Washington, D.C. 20231

**INFORMATION DISCLOSURE STATEMENT TRANSMITTAL**

1. Transmitted herewith are:

☒ Information Disclosure Statement

☒ PTO Form 1449

☒ No copies of publications listed on the PTO Form 1449 are being provided as this application claims continuation status to application Serial No. 08/980,115 and copies of the listed publications were provided in the parent application.

2. ☒ This Information Disclosure Statement is filed before the later of:

☒ the mailing date of a first office action on the merits.

In accordance with 37 CFR 1.97(b), no fee is required.

3. Fees

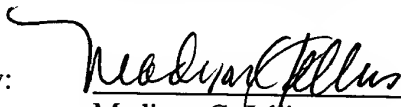
☒ No fee is required.

☒ The Commissioner is hereby authorized to charge any underpayment or credit any overpayment of the patent application processing fees under 37 CFR 1.17 associated with this communication to Deposit Account No. 03-3117.

Cooley Godward LLP  
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Respectfully submitted,  
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By:

  
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DEC 7 2000

Attorney Docket No: UCAL-246/02/01US  
96-026-5

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By: Vikki L. Athen  
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of John D. Baxter, et al.

Serial No.: 09/637,132

Examiner:

Filed: August 10, 2000

Art Unit: 1646

For: NUCLEAR RECEPTOR LIGANDS AND LIGAND BINDING DOMAINS

Commissioner for Patents  
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

1. Applicant hereby submits this Information Disclosure Statement in accordance with 37 CFR 1.98 and pursuant to Applicant's continuing duty under 37 CFR 1.56 to bring any information which may be material to patentability of this application to the Examiner's attention.
  2. Applicant makes no representation that a search has been conducted by the Applicant, or that there is not possibly more relevant art. Applicant also makes no representation that the information submitted herewith is in fact material.
- [X] No copies enclosed: The publications listed on the enclosed PTO Form 1449 have been previously cited by or submitted to the PTO as recited in the Information Disclosure Statement Transmittal that accompanies this paper. In accordance with the provisions of 37 C.F.R. 1.98(d), no copies of these publications are being provided.
- [ ] Copies enclosed: Copies of the publications listed on the enclosed PTO Form 1449 are being provided with this Information Disclosure Statement.

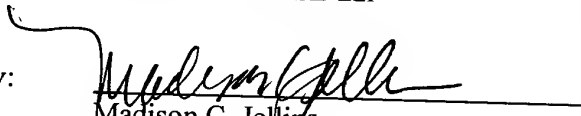
- ☐ The enclosed publications were cited in a foreign examination report in a related case. A copy of the search report and the cited references are attached hereto.
- ☐ The search report is not in the English language and a translation of the search report is enclosed.
- ☐ At least one of the enclosed publications is not in the English language.
- ☐ English translation(s) are enclosed.
- ☐ Enclosed is an explanation of the relevance of non-English publications for which an English translation is not available.
- ☐ The explanation is in the form of an English language search report.

The subject application is believed patentable over any of the above references.

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- Enclosures: 1. Form PTO 1449  
2. Copies of references cited on Form PTO-1449